FOR THE

2018 Residency Research Award

Sponsored by the:
Russell Scott, Jr., MD Urology Research Fund

IMPORTANT DATES AND TIMES

<table>
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<tr>
<th>Event</th>
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<tr>
<td>Pre-Application Deadline</td>
<td>5:00 p.m. Eastern time, December 14, 2017</td>
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<tr>
<td>Application Submission Deadline</td>
<td>5:00 p.m. Eastern time, January 11, 2018 (required)</td>
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<tr>
<td>Peer Review</td>
<td>February 2018</td>
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<tr>
<td>Funding Notification</td>
<td>March 2018</td>
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<tr>
<td>Award Period</td>
<td>One-year award: August 1, 2018 – July 30, 2019</td>
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<td>Six-month award: August 1, 2018 – January 31, 2019 or</td>
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<td>February 1, 2019 – July 30, 2019</td>
</tr>
</tbody>
</table>
TABLE OF CONTENTS

I. Funding Opportunity Description .......................................................................................................................... 3
   A. Urology Care Foundation Mentored Research Awards .................................................................................. 3
   B. Award Information ........................................................................................................................................... 3
   C. Eligibility Information ....................................................................................................................................... 3
   D. Funding Information .......................................................................................................................................... 4
      1. Awards Available .......................................................................................................................................... 4
      2. Overlap in Funding ....................................................................................................................................... 4
      3. Distribution of Funds and Institutional Matching Funds ........................................................................... 5

II. Preparation and Submission of Application Materials ......................................................................................... 5
   A. General Information ......................................................................................................................................... 5
   B. Pre-Application and Application Agreement ............................................................................................... 6
   C. Preparation and Submission of the Application Package ............................................................................. 7
   D. Application Checklist ....................................................................................................................................... 10

III. Application Review Information ...................................................................................................................... 11
   A. Application Review and Selection Process .................................................................................................... 11
   B. Review Criteria ............................................................................................................................................... 11
   C. Notification of Application Review Results .................................................................................................. 12

IV. Award Requirements .......................................................................................................................................... 12
   A. Publication Acknowledgements ..................................................................................................................... 12
   B. Urology Care Foundation and AUA Research-Related Activities ................................................................ 13
   C. Reporting ....................................................................................................................................................... 14
      1. Progress Reports and Personal Statements ................................................................................................. 14
      2. Institutional Accountability Report .............................................................................................................. 15
      3. Post-award Reporting ................................................................................................................................... 15
      4. Other Requirements .................................................................................................................................... 15
   D. Changes to Contact Information, Project, Personnel, Institution, or Funding ................................................ 16

V. Contact Information ..................................................................................................................................... 16

2018 Urology Care Foundation Residency Research Award
I. FUNDING OPPORTUNITY DESCRIPTION

A. UROLOGY CARE FOUNDATION MENTORED RESEARCH AWARDS

The Urology Care Foundation, the official foundation of the American Urological Association (AUA), works to ensure the future of urologic health by supporting and improving the prevention, detection, and treatment of urologic diseases through research and education. The Urology Care Foundation has recognized a major need to encourage bright, young physician-scientists and researchers to dedicate their careers to improving patients’ lives through better understanding the development and management of urologic diseases and conditions.

The AUA Office of Research, created in 2001, works toward its mission to increase and maintain the workforce of urology physician-scientists and researchers to catalyze the advancement of clinical practice and reduce the burden of urologic disease through impactful research. The AUA Office of Research administers all aspects of Urology Care Foundation mentored research awards including application receipt and review, as well as grant management. For any questions related to administration of awards or award applications, see Section V. Contact Information of this Program Announcement.

B. AWARD INFORMATION

Conducting urologic research is an exciting and vital activity for medical professionals. Research training during urology residency provides residents with exposure to this potential career path and experience to enable them to continue their development towards becoming independent investigators. Importantly, residency research training has the potential to address the critical and increasing shortfall of urology surgeon-scientists and to play a key role in departmental and institutional contributions to science and to improving patients’ lives through research.

The Urology Care Foundation is proud to provide the Residency Research Award program. This program, initiated in 2010 and having funded sixteen awards thus far, is made possible through the support of the Urology Care Foundation, the Russell Scott, Jr., MD Urology Research Fund, and other sponsors. These awards are designed to support outstanding urology residents for a period of six months or one year while the resident fulfills his or her obligation for research training.

C. ELIGIBILITY INFORMATION

To be eligible to compete for a 2018 Urology Care Foundation Residency Research Award, the applicant must be enrolled in an accredited urology resident program within the geographic boundaries of the AUA Sections (http://www.auanet.org/about-us/aua-governance/aua-sections-and-demographics) and willing and able to spend at least 80 percent of his or her total time on the research project during the performance period of the award. Deviation from this requirement will result in discontinuation of funding and reimbursement of any remaining funds provided through the award.

Membership in the American Urological Association is required of recipients of this award. All applicants who are not AUA members at the time of application must commit to applying for AUA membership in the event of being selected to receive a Residency Research Award. Membership applications must be submitted within one week of accepting the Residency Research Award and proof of AUA membership must be provided to the AUA Office of Research prior to funds being dispersed;
additional information about AUA membership can be found at http://www.AUAnet.org/about-us/membership/membership-value.

If the research is being conducted in the United States, applicants are not required to be U.S. citizens but must have valid Social Security numbers for Internal Revenue Service purposes.

To be eligible as a host institution, the institution must reside within the boundaries of the eight sections of the AUA, and must support the applicant by guaranteeing adequacy of the environment, laboratory equipment, and supplies needed to conduct the research. **The host institution will be required to match the Urology Care Foundation's total support by guaranteeing protected research time, providing matching support for salary (not beyond peer parity) and/or funding for requisite research supplies or other direct costs for research including travel costs for scientific meetings as well as ensuring the adequacy of the scientific environment and equipment to conduct the research.**

In association with Urology Care Foundation Residency Research Awards, the AUA Office of Research provides moderate travel support (maximum of $1,500 per award year, with a maximum of $1,000 per meeting) to enable award recipients to attend scientific meetings. However, given the limited nature of this funding, institutions are strongly encouraged to include travel costs as a part of their proposed support for the award applicant.

Neither the Urology Care Foundation nor the AUA Office of Research discriminate as to age, race, color, gender, religion, sexual orientation, disability, citizenship or national origin in the employment and promotion of staff or in the selection of participants in the Urology Care Foundation Residency Research Award.

D. FUNDING INFORMATION

1. AWARDS AVAILABLE

Currently, one award is available, sponsored by the Russell Scott, Jr., MD Urology Research Fund. The Urology Care Foundation continuously seeks additional sponsors for the Residency Research Award; thus, the portfolio of awards available has the potential to increase during the course of the competition.

The duration of each award is six months or one year. The length of the award period is determined by the duration of the residency research training period at the sponsoring institution.

2. OVERLAP IN FUNDING

No other government-, non-government-, or industry-sponsored projects may fund the same scope of work as the grant application to the Residency Research Award program. However, an award from this program may be related to other funding from foundations or government agencies, provided there is no direct overlap. It is the responsibility of the applicant to justify the novelty of the proposed project and provide evidence that the application does not overlap with any current or pending funding. **Applicants are required to include in their biosketches information on applications filed to other funding sources, and provide the Urology Care Foundation with any updates regarding monies secured during the award period.**
3. DISTRIBUTION OF FUNDS AND INSTITUTIONAL MATCHING FUNDS

Residency Research Award funds will be paid directly to the institution. For six-month awards, one payment of $20,000 will be made at the beginning of the award period, either on or around August 1, 2018 or on or around February 1, 2019. For one-year awards, two payments of $20,000 will be made on or around August 1, 2018 and February 1, 2019.

The intent of the Urology Care Foundation funds is to provide minimally restricted support in the form of any direct costs that benefit the project during the resident’s research training. Exclusions include indirect costs and costs for any personnel other than the resident, neither of which is allowed. If the Foundation funds are used for salary, they should not be distributed as a “bonus” or other “prize”-type compensation that would create disparities between the Urology Care Foundation-funded resident and his or her peers. Rather, they should be used to replace a portion of the awarded resident’s salary such that the host institution may use its own funds to further its research training programs. In addition, the host institution is obligated to provide matching funds for the awardee, which may consist of additional salary (not beyond peer parity) and/or funding for research supplies or other direct costs for research including travel costs for scientific meetings. Under no circumstances should Urology Care Foundation funds or the designated institutional matching funds be used for institutional indirect costs or for salary support for anyone other than the Urology Care Foundation-funded resident. Misappropriation of funds may result in an institution’s disqualification from eligibility for future Urology Care Foundation funding opportunities.

The Urology Care Foundation does not withhold taxes from awards (i.e., federal withholding, social security, local or state taxes, etc.). It is the responsibility of the host institution and/or awardee to ensure that appropriate federal and local taxes are accounted for.

II. PREPARATION AND SUBMISSION OF APPLICATION MATERIALS

A. GENERAL INFORMATION

Applicants are responsible for composing, compiling, and submitting a complete application. It is the responsibility of the applicant to ensure that all required items are submitted electronically via the application submission system, e.g., those requested from the mentor(s), Residency Program Director, Urology Department Chair and Institutional Representative prior to the application deadline. Each applicant has the ability to log into his or her application at any time to check the submission status of required items.

Each applicant must have a primary mentor for the project. More than one mentor per project is both permitted and recommended if the project is highly translational or multidisciplinary. Each mentor must provide a Letter of Support (or a combined letter from all mentors) and complete the required mentor sections within the Application Agreement via the application submission system. Listing of consultants or co-investigators on the project is acceptable; the applicant should clearly describe the role of each member of the project personnel within the Research Project Description section.

Institutions may support the applications of multiple residents within any given funding year. However, depending on the number of awards available, the Urology Care Foundation prefers to support meritorious applications from as many institutions as possible. Therefore, funding decisions will be impacted not only by the merit of the application and alignment with awards available, but also may be
impacted by the intent to distribute Urology Care Foundation funding to as diverse a distribution of institutions as possible.

**Electronic Registration:** Applicants must go to the 2018 Urology Care Foundation Residency Research Award program site at [http://www.auanet.org/research/funding-opportunities/residency-research-awards](http://www.auanet.org/research/funding-opportunities/residency-research-awards) to view all pertinent information regarding the program. Applicants must submit all documents electronically via the application submission system at [https://aua.versaic.com](https://aua.versaic.com). To prevent emails from the application submission system from being identified as spam, it is strongly recommended that the applicant, individuals providing letters of support for the application and institutional representative, add noreply@system.versaic.com to their address books or safe sender lists (to learn more, go to [www.versaic.com/spamhelp](http://www.versaic.com/spamhelp)).

Applicants requiring technical support related to the grant submission portal should visit [https://aua.versaic.com/faq](https://aua.versaic.com/faq).

### B. PRE-APPLICATION AND APPLICATION AGREEMENT

Applicants are required to complete an electronic pre-application via the application submission system at [https://aua.versaic.com](https://aua.versaic.com) no later than Thursday, December 14, 2017 at 5:00 p.m. Eastern time. Only one pre-application can be submitted per applicant.

1. **Application Agreement**

NEW: The Application Agreement will be completed solely via the application submission system and must be completed in its entirety, including an electronic signature from the applicant, mentor(s) and Institutional Representative. After logging into the application submission system and signing the Application Agreement, the applicant will be requested to submit the contact information of the mentor(s), and Institutional Representative, after which, an email will be sent to those individuals via the application submission system requesting the following information and action from each person:

- Mentor(s): each mentor must sign the Application Agreement; In addition, the primary mentor is also required to state his or her involvement in the application process.
- Institutional Representative: must sign the Application Agreement and provide both contact and Tax ID information

PLEASE NOTE: **These actions MUST be completed by the application submission deadline**.

2. **Pre-application**

The pre-application requires the following applicant information: 1) contact and project information (e.g., project title, research type, etc.) and 2) contact information for the mentor(s), Residency Program Director, Urology Department Chairperson and institutional representative.

PLEASE NOTE: It is highly recommended that the mentor(s), Residency Program Director, Urology Department Chairperson and Institutional Representative on the application add noreply@system.versaic.com to their address books or safe sender lists to receive emails from the submission system PRIOR TO submission of the pre-application. To learn more, go to [www.versaic.com/spamhelp](http://www.versaic.com/spamhelp).

Information in the pre-application is used by the program staff to prepare for peer review of applications. Pre-application information is not peer-reviewed and does not include an approval process. Applicants whose pre-application information is successfully submitted automatically have permission to begin...
C. PREPARATION AND SUBMISSION OF THE APPLICATION PACKAGE

Prepare the application package to include all documents as indicated below. PLEASE NOTE: any components that exceed their respective page limits will be removed from the application or administratively truncated, with one exception: if the Research Project Description exceeds the three-page limit, the application will not be considered. The mentor(s) may provide guidance on preparation of the application; however, the applicant should prepare and submit his or her own application package.

1. Research Project Abstract (one-page limit)
Briefly summarize the following elements of the proposed research project and training:
- Problem to Be Addressed
- Specific Aims and Experimental Design
- Mentoring/Training Plan
- Potential Impact of the Project on Urologic Research and on the Applicant’s Career Development

2. Research Project Description (three-page limit)

Use of a simple font (e.g. Arial, Calibri, Times New Roman) in 12-point format is recommended. Applications that use less than a 10-point font may not be forwarded to peer review.

Overall, formatting (e.g., line spacing, margins, etc.) of the Research Project Description is at the discretion of the applicant and mentor(s). However, applicants are encouraged to be mindful of ease-of-review considerations and avoid overcrowding of text, use figures of sufficient size for understanding and bolding of the most notable text, and include other features that contribute to ease-of-review.

PLEASE NOTE: All figures and tables must be included within the three-page limit for the Research Project Description. However, neither the References Cited page(s) nor any listing of abbreviations and acronyms count toward the page limit of the Research Project Description.

Section 1: Background and Specific Aims (suggested length: one page)
Introduce the problem to be addressed, providing the major reasons why this is an important problem to study and citing the appropriate literature. Define the major hypothesis to be tested and list specific aims proposed to test the hypothesis. Ensure that the aims are appropriate for the proposed duration of the project. The description should also state how the applicant will allocate the research/clinical time for the duration of the award period.

Section 2: Supporting Data (suggested length: one page)
Provide any preliminary data pertinent to the proposed experiments. Present either research data that you have generated or data that has been generated by the laboratory of the mentor(s) and is related to your project. Unpublished data or published data (with references) may be included. The data in this section should support the hypothesis of the proposed work.

Section 3: Experimental Plan (suggested length: one page)
Describe each experiment such that reviewers can determine the feasibility of the methods and how they will address the hypothesis and aims. Outline the rationale for each experiment, possible or anticipated results, and the approaches that will be used for data analysis and...
statistical interpretation, as appropriate. Briefly describe alternative experimental approaches should the initial approaches prove unsuccessful.

PLEASE NOTE: The total length of all sections of the Research Project Description may not exceed three pages.

3. Research Facilities and Environment Description (one-page limit)

Describe the institution's facilities, funding, and technical assistance available to the application. Include specific duties of the applicant, time committed to research (residents must commit no less than 80% of their time to research during the award period; please type your research commitment time amount in bold within the Research Facilities and Environment Description), clinical activities, relationship to mentor’s ongoing research program, and immediate supervisor (if other than mentor).

4. Applicant CV/Biosketch (five-page limit)

Include the applicant’s curriculum vitae in any style or NIH-style biosketch (five-page limit), which should include information on any other pending applications for support for the resident. Current NIH biosketch guidelines can be found at http://grants.nih.gov/grants/funding/phs398/phs398.html.

5. Applicant Career Plan (one-page limit)

The statement of career goals and plans may include description of any coursework, conferences, seminars, teaching or clinical responsibilities, grant writing, or other activities that the applicant plans to use in his or her career development.

6. Mentor Biosketch(es) (five-page limit per biosketch)

Include a biosketch for each mentor (NIH-style required). Current NIH biosketch guidelines can be found at http://grants.nih.gov/grants/funding/phs398/phs398.html.

7. Letters of Support

The application must contain the following letters of support listed below on institutional letterhead; Letters of support must be sent confidentially via the application submission system at https://aua.versaic.com.

a. Mentor Letter(s) of Support (two-page limit per letter/mentor)

The application must contain one letter of support from each mentor or a combined letter from all mentors. The mentor letter(s) of support should clearly demonstrate that the mentor is strongly supportive of both the research project and the applicant and that the mentor has the expertise required to provide sufficient scientific guidance and oversight for the respective portions of the research project and training. Mentors should be independent investigators with track records of success in research publication, grant funding, and documented development of trainees who have achieved academic success.

b. Residency Program Director Letter of Support (one-page limit)

The application must contain a letter of support from the institution’s Residency Program Director attesting to the following:

- The applicant’s strong performance in residency thus far;
The applicant’s demonstrated aptitude for a career in urologic research;
- A project duration of not less than 6 months or one year;
- **80%** protected time for residency research for the duration of the project; and
- Adequate provision of research supplies and other support.

c. **Urology Department Chair Letter of Support (one-page limit)**

The application must contain a letter of support from the Urology Department Chair attesting to departmental support for residency research for the duration of the proposed project.

d. **Letter(s) of Collaboration (optional; one-page limit per letter)**

Letters of support from collaborators (e.g., for promised materials or other research support) are not required for this award. However, they are permitted and may be submitted by the applicant via the application submission system.

Because all letters of support must be submitted confidentially excluding the letter(s) of collaboration, the application submission system will use contact information provided by the applicant to directly solicit, via email, letters of support from each mentor and other persons providing letters. While applicants will not be able to view the submitted letters in the application submission system, the applicant may use the system to view verification that the letters have been received.

8. **Supplemental Information: Lay Statement and Photographs for UrologyHealth.org and AUAnet.org**

The applicant must submit two separate documents via the application submission system under the Supplemental Information section:

1) A short paragraph (3-4 sentences), in language that can be understood by individuals without backgrounds in science or medicine, about his or her research project.

2) A headshot photograph. The photograph should be a **high-quality headshot** (file size > 1MB) with either professional dress or a laboratory/white coat. Please do not compress the photograph after it is taken.

By providing this information, the applicant thus gives permission for its use on the Urology Care Foundation website, [www.UrologyHealth.org](http://www.UrologyHealth.org) and the AUA website, [www.AUAnet.org](http://www.AUAnet.org), and in press releases and other promotional materials for the Residency Research Award program. The statement and photograph should be submitted via the application submission system at [https://aua.versaic.com](https://aua.versaic.com) no later than **Thursday, January 11, 2018, 5:00 p.m. Eastern time.** These items will not be shared with reviewers and will not impact the review process or funding decisions.

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The application package must be SUBMITTED electronically at [https://aua.versaic.com](https://aua.versaic.com) no later than **Thursday, January 11, 2018 at 5:00 pm EASTERN TIME.** Applicants should allow ample time for composing, compiling, and submitting materials. Late or non-electronic submissions will **NOT** be considered.
# D. APPLICATION CHECKLIST

To complete the application package, ensure the submission of the following:

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<th>Application Item</th>
<th>Who should submit the item?</th>
<th>Completed?</th>
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<tr>
<td>Application Agreement</td>
<td>Applicant, Mentor(s), and Institutional Representative</td>
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<td>Pre-application</td>
<td>Applicant</td>
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<tr>
<td>Application Package:</td>
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<tr>
<td>Item 1: Research Project Abstract (one-page limit)</td>
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<td>Item 3: Research Facilities and Environment Description (one-page limit)</td>
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<td>Item 4: Applicant CV/Biosketch (five-page limit)</td>
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<td>Item 5: Applicant Career Plan (one-page limit)</td>
<td>Applicant</td>
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<td>Item 6: Mentor Biosketch(es) (five-page limit per biosketch)</td>
<td>Mentor</td>
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<td>Item 7a*: Letter(s) of Support from the Mentor(s) (two-page limit per letter)</td>
<td>Mentor</td>
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<td>Item 7b*: Letter of Support from the Residency Program Director (one-page limit)</td>
<td>Residency Program Director</td>
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<td>Item 7c*: Letter of Support from the Urology Department Chair (one-page limit)</td>
<td>Urology Department Chair</td>
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<td>Item 7d: (optional): Letter(s) of Collaboration (one-page limit per letter)</td>
<td>Applicant</td>
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<td>Item 8: Lay Statement and Photographs</td>
<td>Applicant</td>
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*PLEASE NOTE: Items 7a-c should be sent confidentially via the application submission system at https://aua.versaic.com. The system will send emails to solicit the letters from the respective individuals and provide links for uploading.

The AUA Office of Research strongly recommends that, prior to submission, all applicants send their applications through both institutional scientific review (where available) and appropriate institutional grant administration review at their home institutions to optimize grant quality and ensure consistency with institutional policies.
III. APPLICATION REVIEW INFORMATION

A. APPLICATION REVIEW AND SELECTION PROCESS

All applications are evaluated by a peer review panel of expert physician-scientists and researchers. Each application is considered according to established criteria for determining merit as described below.

Following the completion of peer review and from the pool of applications deemed fundable, the most highly meritorious applications will be matched to the available awards. All funding decisions must be approved by the AUA Director of Research and Research Council Chair.

All review processes are conducted confidentially to maintain the integrity of the selection process. Peer reviewers sign a nondisclosure statement that all application and evaluation information will not be disclosed outside the peer review panel. Violations of confidentiality can result in the voiding of peer review results and other corrective actions. In addition, the applicant, mentor(s), and other individuals involved in or otherwise standing to benefit from the application’s funding are prohibited from contacting persons involved in the review process to gain protected evaluation information or to influence the evaluation process. Violations of these prohibitions will result in the disqualification of the application.

B. REVIEW CRITERIA

All applications will be evaluated against the following review criteria:

Applicant

- To what degree the applicant’s achievements to date, as reflected by awards, honors, previous publications, etc., indicate his or her potential for a successful career in urologic research.
- To what degree the applicant’s stated career goals and the letters of support indicate a strong commitment to pursuing urologic disease research throughout his or her career.

Mentor(s)

- To what degree the mentor letter(s) of support demonstrates the applicant’s potential for a career in urologic research and includes a realistic time frame for the applicant’s research project.
- To what degree the mentor(s) can provide the necessary expertise and track record to provide sufficient scientific guidance and oversight for the research project and training.
- To what degree the mentor has outlined a solid training plan for the applicant.

Research Project

- To what degree the research project is appropriately designed and will provide the applicant with research experience and training to promote the development of a successful career in urologic research.
- To what degree the experimental plan is appropriately designed to achieve the aims of the project and achieve them within the proposed time frame. Expected results, potential obstacles, and alternative strategies should be discussed.
To what degree the application is well organized, clearly presented, and suggests the applicant's ability to think clearly and to present an argument in a logical, compelling way.

Institution

To what degree the institution provides a training environment with ongoing urologic disease research that will promote the development of the applicant.

Residency Program Director and Urology Department Chair

To what degree the letters of support indicate the applicant’s strong performance in residency thus far, the applicant’s potential for a successful career in urologic research, and appropriateness of the length of the research training period (six months or one year) and level of effort (at least 80% protected time for research during the award period).

C. NOTIFICATION OF APPLICATION REVIEW RESULTS

Applicants will be notified of funding decisions via the application submission system and/or in writing via email no later than March 2018; please note that results will not be given over the phone. To prevent emails from the submission system from being identified as spam, it is strongly recommended to add noreply@system.versaic.com to your address book or safe sender list (to learn more, go to www.versaic.com/spamhelp). Summary statements of application reviews will not be provided for the Residency Research Award program.

For applicants selected for funding, a Notice of Award letter will be issued to notify the grantee, mentors and institutional representatives that an award has been made and to specify the terms and conditions of the award.

IV. AWARD REQUIREMENTS

All Urology Care Foundation Residency Research Award recipients must adhere to the following requirements:

A. PUBLICATION ACKNOWLEDGEMENTS

Awardees are required to send electronic copies of articles published based on Urology Care Foundation Residency Research Award-funded research to the AUA Office of Research at grantsmanager@auanet.org. Any publication or presentation arising from work supported in whole or in part by the Urology Care Foundation Residency Research Award should include the following acknowledgment:

“This work was supported in part by the 2018 Urology Care Foundation Residency Research Award Program and [SPONSOR NAME].”

In addition, it is suggested that all awardees consider adding “2018 Urology Care Foundation Residency Research Award Recipient” to their email signature blocks.
B. UROLOGY CARE FOUNDATION AND AUA RESEARCH-RELATED ACTIVITIES

Each year, the AUA and the Urology Care Foundation coordinate several events designed to advance urologic research and provide educational venues, especially for early-career investigators. For all investigators funded by the Urology Care Foundation Residency Research Award, attendance at the Urology Care Foundation Research Honors Program, AUA Research Forum, and the AUA Funding Opportunities and Grant Writing Workshop, all held at the AUA Annual Meeting, is required. Attendance at the Early-Career Investigators Workshop, typically held at the AUA Headquarters building in Linthicum, Maryland, is recommended but not required. In addition, depending on eligibility or relevance of the topic to the awardee’s research, attendance at the AUA/SBUR Summer Research Conference, held at AUA Headquarters, and the Basic Sciences Symposium and Urologic Oncology Research Symposium, both held at the AUA Annual Meeting, may also be required. The AUA Office of Research also provides several opportunities for funded investigators to present posters at some of these events. Unless prior approval is given, failure to attend an event may disqualify the awardee from receiving a Urology Care Foundation award or payment. Travel awards or other means of travel support may be available for some events.

AUA Annual Meeting Events

- **Urology Care Foundation Research Honors Program and Reception:** This event is held annually to recognize all recently-awarded Urology Care Foundation grant recipients, present research Awards of Distinction, and provide a valuable opportunity for networking between early-career investigators supported by the Urology Care Foundation and leaders in urology and urologic research. Attending the program and presentation of a poster is required for all investigators funded by the Urology Care Foundation Residency Research Award.

- **Basic Sciences Symposium:** This annual symposium is a premier research event attracting basic scientists, surgeon-scientists, and other urology investigators from various specialties. Past program topics include stem cells and nanotechnology, inflammation and fibrosis, new perspectives in pelvic health, aging and urologic manifestations, and the human microbiome. Travel awards for early-career investigators are often available. If the topic is associated with the research being conducted by the Urology Care Foundation awardee, he or she is required to attend the symposium.

- **Urologic Oncology Research Symposium:** This annual symposium encourages synergies and fosters collaboration in genitourinary oncology by bringing together scientists and clinicians at all career levels in a stimulating and interactive setting. The information discussed and shared during this meeting catalyzes the translation of laboratory-based cancer research to practical application. It is also intended to serve as a forum where early-career investigators can interact with leaders in a host of disciplines related to urologic cancers. Travel awards for early-career investigators are often available. If the topic is associated with the research being conducted by the awardee, he or she is required to attend the symposium.

- **Funding Opportunities and Grant Writing Workshop:** This is an educational workshop that assists researchers in identifying and understanding sources of funding and improving their grant writing skills. The workshop provides information on where to find grant opportunities, preparing an effective grant application, and peer review processes. Representatives from both public (federal) and private agencies and organizations are typically in attendance to provide information on current funding opportunities. All investigators currently funded by the Urology Care Foundation are required to attend the Grant Writing Workshop if it is offered.
• **AUA Research Forum – Early-Career Investigators Showcase:** This annual event provides a showcase for the research accomplishments of promising early-career investigators. The program will feature up to 10 oral presentations and up to 30 poster presentations on innovative, relevant, and potential impactful research projects that will be of great interest to the basic and clinical research communities. A judging panel scores the oral presentations and awards are provided to the top three presenters. All investigators funded by the Urology Care Foundation are required to attend the Research Forum.

• **Early-career Scientists Exchange:** This biennial event brings together Urology Care Foundation-funded early-career investigators for a focused, informal discussion with leaders in federal funding organizations to discuss current challenges and strategies for developing a successful research program in the ever-changing research climate.

**Events at AUA Headquarters**

• **AUA/SBUR Summer Research Conference:** This biennial conference facilitates rigorous and in-depth discussion of an overarching topic area in urologic research and attracts leaders in the field including clinicians and both early-career and established investigators in urology and related fields. It provides a forum to promote not only scientific exchange critical to research advancements, but also the careers of early-career investigators through education, networking, mentoring, and encouragement. Each conference is typically held in July or August and has a different scientific theme and topics each year. If the topics are relevant to the research being conducted by the awardee, he or she is required to attend the conference.

• **Early-Career Investigators Workshop:** This annual workshop fosters career development in urologic research by providing participants with a solid foundation for successful grant writing. Activities include small group and individual grant review sessions with senior scientific advisors, mock peer review sessions, and presentations on key aspects of career development.

**C. REPORTING**

**PLEASE NOTE:** Failure to adhere to reporting requirements and/or provide appropriate documentation may result in a delay in payments, termination of the award, and/or suspension of the awardee, mentor, or institution from eligibility for subsequent Urology Care Foundation funding opportunities. Unless approved by the institution and the Urology Care Foundation, failure to complete the duration of the research period may result in repayment of funds to the Urology Care Foundation.

**1. PROGRESS REPORTS AND PERSONAL STATEMENTS**

**Resident Awardee Report**

Within 30 days of the end of the residency research training period, or by September 1, 2019, whichever comes first, the awardee must submit a progress report electronically to the AUA Office of Research at grantsmanager@auanet.org. The report should include all information as outlined in the Notice of Award Scholar Progress Report sections.

**Mentor Report** *(approximately one page)*
Under separate cover, the primary mentor must submit a confidential statement electronically to grantsmanager@AUAnet.org evaluating the resident’s performance during the research project and progress on the funded research, and include all information as outlined in the Notice of Award Mentor Progress Report sections.

2. INSTITUTIONAL ACCOUNTABILITY REPORT

The Institutional Accountability Report (IAR) is a form that re-affirms that the institution is adhering to all terms of the Program Announcement and Application Agreement Form, especially the provisions of institutional support and level of effort requirements. Continued funding is contingent upon receipt of a satisfactory report.

Interim IAR

During the award performance period, the Institutional Sponsored Programs Office must submit an IAR to the AUA Office of Research no later than December 31st of each funding year.

Final IAR

Once the award period is complete, a final IAR (financial statement detailing expenditures during the award period) should be completed by the Institutional Grant Administrator and sent to the AUA Office of Research in the Final Progress Report package, which is due within 60 days of the end of the award period of performance, typically August 31.

3. POST-AWARD REPORTING

At specified time points following the completion of the Urology Care Foundation award (e.g., upon completion of the residency and, if a research career will be pursued, at intervals of three, five, and 10 years post-award), the AUA Office of Research will contact previous awardees to collect additional award outcomes information including, but not limited to, publications, funding, invited speakerships and presentations, mentorship, and leadership positions within the AUA or affiliated organizations.

The Urology Care Foundation and the AUA Office of Research request that all past awardees provide updated contact information when appropriate and be responsive to requests for post-award information.

4. OTHER REQUIREMENTS

If activities involving human subjects are planned during the proposed research, the applicant must provide a letter of approval or exemption from the local Institutional Review Board (IRB). IRB letters of approval or exemption are not required at the time of application submission. Should the application be selected for funding, the award will be contingent upon receipt of a copy of the current IRB approval letter by the AUA Office of Research. If IRB approval or exemption for the project is not obtained within 90 days of the award start date, the AUA Office of Research reserves the right to terminate the award.

If activities involving vertebrate animals are planned during the research, the applicant must submit a letter of approval or exemption by the Institutional Animal Care and Use Committee (IACUC). IACUC letters of approval are not required at the time of application submission. Should the application be selected for funding, the award will be contingent upon receipt by the AUA Office of Research of a copy
of the current IACUC approval letter. **If IACUC approval for the project is not obtained within 90 days of the award start date, the AUA Office of Research reserves the right to terminate the award.**

### D. CHANGES TO CONTACT INFORMATION, PROJECT, PERSONNEL, INSTITUTION, OR FUNDING

Any changes in the research project, mentor, personnel, or location/contact information that occur after the application is selected for funding or during the award period must be reported to and approved by the AUA Office of Research. Changes in the resident awardee will typically result in award termination. However, exceptions will be considered on a case-by-case basis and at the discretion of the AUA Director of Research.

**If the awardee is selected for any additional funding from organizations other than the Urology Care Foundation after being selected for a Urology Care Foundation Residency Research Award, the awardee MUST contact the AUA Office of Research to discuss appropriate courses of action.** If the awardee does not meet this requirement, return of any unused Urology Care Foundation funds may be required.

### V. CONTACT INFORMATION

Questions related to this Program Announcement or submission requirements should be directed to the AUA Office of Research.

Assistance is typically available Monday through Friday from 8:00 a.m. to 5:00 p.m. Eastern time. Response times may vary depending upon the volume of inquiries.

The preferred method of communication is email to grantsmanager@auanet.org. Phone calls, when necessary, can be directed to 410-689-3762.