

COI Disclosure Program Instructions

July 2023

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Disclosure Requirements

The AUA requires that prior to participating in programs all individuals make full disclosures of all financial and intellectual conflicting interests (COIs), i.e., private or institutional interests of individuals which may, under certain circumstances, compete or conflict with their duties owed to the AUA. These COIs include affiliations, ownership interests, investment interests, intellectual property interests, business or employment relationships, scientific studies or trials, presentations or publications, with (1) any entity researching and developing, producing, marketing, selling, re-selling or distributing healthcare products used by or on patients (2) healthcare publications or (3) other medical associations.

A complete list of disclosure requirements is available in <u>Principles, Policies and Procedures for Managing Conflicts of Interest</u> on AUA's website.

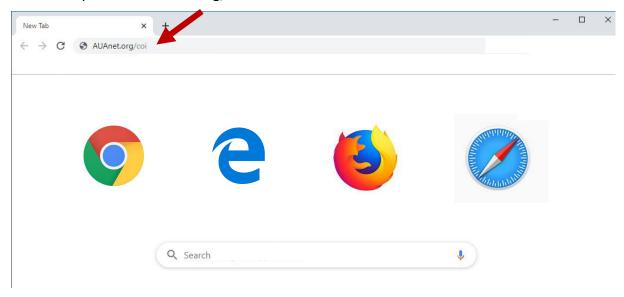
Disclosure Period

Any existing relationships within the past **24 months** should be disclosed prior to assuming a role with the AUA. Ongoing disclosure of new relationships is also required for the duration of that role.

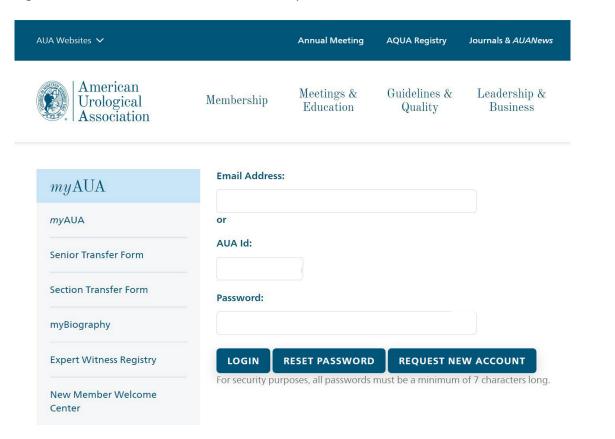
Records must be updated after **April 1** to be considered current for the Association Year (June-May). Participation in certain AUA activities may require you to review and confirm disclosures more frequently than once a year.

How to Log In

Enter the quick link **AUAnet.org/coi** in the address bar of a web browser.



Log in with the user email address and AUA password.



COI Disclosure Program Main Page

Depending on the user's status, members will see one of two options on the main page.

Option 1 – New to the disclosure system/Returning users with a status of "nothing to disclose". Users may add a new disclosure or declare that they have nothing to disclose. See pages 7 and 8 for a sample of the Add a Disclosure Form.

Conflict of Interest Disclosures

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Please disclose affiliations or relationships, whether compensation was received or not.

All items for the past two years should be disclosed and are listed under the active tab. Future disclosures may be added to your active record.

Editing Disclosures: Confirmed disclosures are part of a member's permanent record and cannot be deleted. Members may edit the company type, specialty area, description or end date. If a disclosure is no longer current select edit to add an end date to conclude the relationship.

If you have questions, please review the

- Online Disclosure Program Instructions
- AUA Principles, Policies and Procedures for Managing Conflict of Interest 🕒
- ACCME Standards 2020 🖟

Disclosure Record for Test Urologist (AUA ID 00000000)

Last Confirmed: 12/11/2018 Your record requires review and reconfirmation.

Status: Nothing to Disclose

Add New Disclosure

You may either add a disclosure, or confirm that you have nothing to disclose.

By confirming your COI Disclosure Record, you are certifying that the information is accurate and true. This serves as your electronic signature.

I Have Nothing to Disclose

Option 2 - Returning Member with active or inactive disclosures

Active Tab

Contains disclosed items within the 24 months (also may include future disclosure dates).

Users may confirm their record, add a new disclosure, or edit a current disclosure.

Returning users will be required to edit current disclosures to provide information on any missing fields prior to confirming their record.

Disclosure Record for Test Urologist (AUA ID 00000000)

Last Confirmed: 10/4/2018 Your record requires review and reconfirmation.

Active disclosure range: Includes all disclosed items on or after 11/8/2020

The AUA has updated its online disclosure records system. This will require a minor update to existing records. Please use the edit button for each disclosure item to provide the additional information requested on the form. Once the updates are complete, select the button below to confirm your record.

You have disclosures requiring update prior to being able to confirming your record. The disclosure requiring update are highlighted in blue below.

Add New Disclosure



Once the missing fields have been updated users will be prompted to confirm their record.

Inactive Tab

Provides a historical list of disclosed items between two and five years old.

Content may be edited using the renewal button. New dates must be applied to create an active disclosure item. The inactive disclosure item will be retained as part of the member's historical record. Users must return to the active tab to confirm their record.

Note: Users without historical information in the AUA database will not see the inactive tab displayed.

Conflict of Interest Disclosures

Renewal Instructions

Content may be edited using the renewal button. New dates must be applied to create an active disclosure item. The inactive disclosure item will be retained as part of the member's historical record.

Disclosure Record for Test Urologist (AUA ID 00000000)

Last Confirmed: 10/11/2022

Inactive disclosure range: 11/8/2017 to 11/7/2020



Return

Add Disclosure Form

Disclosure Requirements

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To whom does this disclosure apply? • Self • Family

What is the name of the company or organization?

What is they type of company or organization?

Please select a type
Medical Association
Medical Device, Company or Distributor
Pharmaceutical or Biologics Company or Distributor
Nutraceutical (healthcare supplements)
Health Publishing/Medical Journals
Diagnostic Laboratory (with proprietary products)
Diagnostic Laboratory (without proprietary products)
Other (please specify)

Is this a publicly traded company?

○ Yes ○ No

Does this company produce, market, sell, re-sell, or distribute healthcare products used by or on patients?

• Yes • No

Has the company initiated the government regulatory approval process for any of its products? e.g., Submitted an Investigational New Drug Application (IND) or initiated a Premarket approval (PMA).

• Yes • No

Is this a financial relationship?

 \circ Yes \circ No

What is the type of relationship?

- o **Consultant or Advisor.** Includes service on research, product development, marketing, advisory boards or quality assurance/safety monitoring boards related to healthcare products or services.
- Meeting Participant or Lecturer. Honoraria, reimbursements or in-kind payments received as faculty members, speakers bureau, industry sponsored lectures, presenters, chairs, proctors, preceptor or consultants. Any role beyond meeting attendee should be disclosed.
- Scientific Study or Trial. Includes research as a principal investigator as well as grant support for scientific studies or trials within a member's institution where the member has direct knowledge of these activities..
- Ownership or Investment (Private Company). Ownership of stocks, stock options or other ownership
 interest in any private entity researching and developing, producing, marketing, selling, re-selling or
 distributing health care products used by or on patients.

Follow up question: Is the ownership in the form of stock options only? (yes= stock option, no= owner)

- o **Employee.** Wages or salaries received from (1) any entity researching and developing, producing, marketing, selling, re-selling or distributing health care products used by or on patients; (2) healthcare publications; or (3) other medical associations. Employment in a urology practice or in the US Military does not need to be disclosed.
- Investment Interest (Public Company). Personal or family ownership of stocks or stock options (or dividends
 or revenue received from the same) issued by publicly traded companies researching and developing,
 producing, marketing, selling, or distributing health care products or services used by or on patients. Passive
 stock ownership such as mutual funds need not be disclosed.
- o **Intellectual Property Interest.** Owner of any intellectual property interest (e.g., patent, copyright, trademark) for healthcare products or services used by or on patients, and/or receipt of royalties from such intellectual property interest.
- **Leadership Position.** Board, officer, trustee, editor or other leadership position in any commercial entity, society or organization relating to healthcare.
- **Health Publishing.** Includes service on editorial boards, paid authorship or contributorship, service as a paid peer reviewer and/or any publishing royalty arrangements.
- Other (please specify type of relationship)

What is the urological specialty area for this relationship?

- General Urology
- o Endourology/Stone Disease
- Erectile Dysfunction
- Female Pelvic Medicine and Reconstructive Surgery
- Laparoscopic Surgery
- Male Infertility
- Oncology
- Pediatrics
- Male Genitourinary Reconstruction/Trauma
- Renal Transplantation
- Robotic Surgery
- Non-urology Healthcare Related

Optional: Provide a brief description of the nature of the relationship being disclosed (500 Character Limit)

	Description field This is optional for most users. A unique message will appear for users where additional narrative is required
Disclosure Start Date (MM/DD/YYYY) *	(e.g., members of practice guidelines
11/04/2019	panels and Physician Payment &
Disclosure End Date (MM/DD/YYYY)	Reimbursement Committee.)
MM/DD/YYYY	
Is this disclosure current? Current (end date to be determined)	
Add Disclosure Reset Form Return	

Record Review

New items are indicated in red and may be edited or deleted prior to confirmation. Users may select the + button under the details column to view the narrative content. After users reviewed their record select "Confirm My Record" to accept the changes.

Welcome to Conflict of Interest Disclosures

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Please disclose affiliations or relationships, whether compensation was received or not.

All items for the past two years should be disclosed and are listed under the active tab. Future disclosures may be added to your active record.

Editing Disclosures: Confirmed disclosures are part of a member's permanent record and cannot be deleted. Members may edit the company type, specialty area, description or end date. If a disclosure is no longer current select edit to add an end date to conclude the relationship.

A historical list of inactive disclosures is also available as a reference.

If you have questions, please review the

- Online Disclosure Program Instructions 🖹
- AUA Principles, Policies and Procedures for Managing Conflict of Interest 🗷
- ACCME Standards 2020

Disclosure Record for Dr. John Q. Urologist

Last Confirmed: 9/26/2025

Active disclosure range: Includes all disclosed items on or after 10/3/2023

You have 4 disclosures that require confirmation

Add New Disclosure

Activ	e In	nactive											
Edit	Delete	Details	Company Name	Company Type	Applies To	Financial	Relationship Type	Specialty Area	Start Date	End Date	Public Traded	Patient Use	Govt Approval
B		+	AUA	Medical Association	Self	Yes	Employee	General Urology	11/11/2021	Current	No	No	No
Ø	ŵ	+	Pharma 1	Pharmaceutical or Biologics	Self	Yes	Scientific Study or Trial	General Urology	1/1/2024	Current	Yes	Yes	Yes
Ø	1	+	Kerley Corp	Pharmaceutical or Biologics	Self	Yes	Ownership or Investment Interest	Oncology	1/1/2025	Current	No	No	Yes
Ø	ŵ	+	Kellogs	Diagnostic Lab (proprietary) -	Self	Yes	Stock Option	Oncology	10/1/2024	Current	No	Yes	Yes
Ø	1	+	Pfizer	Pharmaceutical or Biologics	Self	Yes	Investment Interest	General Urology	10/2/2022	Current	Yes	Yes	Yes

By confirming your COI Disclosure Record, you are certifying that the information is accurate and true. This serves as your electronic signature. Once a disclosure has been confirmed it becomes part of a user's permanent record and cannot be deleted.

Confirm My Record

Notes on Editing

Prior to confirmation users may edit any field in the draft disclosure (shown in red). Users may also delete a draft disclosure.

Once a disclosure has been confirmed it becomes part of a user's permanent record and cannot be deleted. The following fields may be edited after confirmation:

- Company Type
- Specialty Area
- Description
- End Date

All edited records require reconfirmation.

Confirmation Message

Once a record has been confirmed, the "last confirmed" date will be updated as of today.

Welcome to Conflict of Interest Disclosures

