UROLOGY CARE FOUNDATION
PROGRAM ANNOUNCEMENT

FOR THE

2021 Residency Research Award Program

Sponsored by the:
Robert J. Krane, MD Urology Research Fund
Russell Scott, Jr., MD Urology Research Fund
The Kahlert Foundation

IMPORTANT DATES

<table>
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<tr>
<th>Event</th>
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<tr>
<td>Letter of Intent Deadline</td>
<td>5:00 p.m. Eastern time, December 10, 2020</td>
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<tr>
<td>Proposal Submission Deadline</td>
<td>5:00 p.m. Eastern time, January 7, 2021</td>
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<tr>
<td>Peer Review</td>
<td>February 2021</td>
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<td>Funding Notification</td>
<td>February 2021</td>
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<tr>
<td>Award Period</td>
<td>Three to 12 months (to be proposed by the applicant, commensurate with the needs of the proposed project); all projects must be completed between August 1, 2021 - July 31, 2022</td>
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American Urological Association
Advancing Urology through Research

Urology Care FOUNDATION
The Official Foundation of the American Urological Association
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I. FUNDING OPPORTUNITY DESCRIPTION

A. UROLOGY CARE FOUNDATION MENTORED RESEARCH AWARDS

The mission of the Urology Care Foundation, the official foundation of the American Urological Association (AUA), is to support and improve urological care by funding research, developing patient education, and pursuing philanthropic support. Having recognized a major need to support the future of the urologic research workforce, the Urology Care Foundation offers a portfolio of mentored research training awards designed to recruit promising young physicians and investigators into urologic research and foster their career success.

These awards are administered by the AUA Office of Research, with funding provided by the Urology Care Foundation and partner organizations and sponsors. The AUA Office of Research was created in 2001 with a mission to increase and maintain the workforce of urology physician-scientists and researchers to catalyze the advancement of clinical practice and reduce the burden of urologic disease through impactful research. All proposals are evaluated by a peer review panel of expert physician-scientists and researchers who evaluate the scientific merit of each project as well as the applicant’s potential to become a future research leader.

The AUA and its Urology Care Foundation are committed to promoting diversity within the urologic research workforce. Information related to the applicant’s race/ethnicity and sex is collected during the proposal process to assess these efforts, but is de-identified for all reporting purposes. In the event that an available research award is restricted to applicants of a particular race/ethnicity or sex, this information may be taken into consideration during the award selection process. Neither the Urology Care Foundation nor the AUA Office of Research discriminate as to age, race, color, gender, religion, sexual orientation, disability, citizenship or national origin in the employment and promotion of staff or in the selection of participants in the Residency Research Award.

B. AWARD INFORMATION

Urologic research is critically important to advancing patient care and requires a diverse array of talented, dedicated individuals. Research training during urology residency provides residents with exposure to a research career path and experience to enable them to continue their development towards becoming independent investigators. Importantly, residency research training has the potential to address the critical and increasing shortfall of urology surgeon-scientists and to play a key role in improving patients’ lives through research.

Initiated in 2010, the Urology Care Foundation Residency Research Award program is made possible through the support of the Urology Care Foundation, the Robert J. Krane, MD Urology Research Fund, Russell Scott, Jr., MD Urology Research Fund, the Kahlert Foundation, and other sponsors.

The Residency Research Award program will support outstanding urology residents by providing $10,000 per award for a period of three months to 12 months while they fulfill their obligation for research training. The length of the award period is to be proposed by the applicant and mentors.

C. ELIGIBILITY INFORMATION

1. APPLICANT

To be eligible to compete for a 2021 Residency Research Award, applicants must be enrolled in an accredited urology resident program within the geographic boundaries of the AUA Sections (http://www.AUAnet.org/about-us/aua-governance/aua-sections-and-demographics) and willing and able to spend at least 80 percent of their total time on the Residency Research Award project during the performance period of the award. Deviation from this
requirement will result in reimbursement to the Urology Care Foundation of any remaining funds provided through the award and/or jeopardize future Urology Care Foundation awards to the institution.

If the research is being conducted in the United States, applicants are not required to be U.S. citizens but must have valid Social Security numbers for Internal Revenue Service purposes.

**Membership in the AUA is required** for recipients of this award. All applicants who are not AUA members at the time of proposal must commit to applying for AUA membership if selected to receive a Residency Research Award. Membership applications must be submitted within one week of accepting the Residency Research Award and proof of AUA membership must be provided to the AUA Office of Research prior to funds being dispersed. Additional information about AUA membership can be found at [http://www.auanet.org/membership/member-benefits-and-programs/resident/fellow](http://www.auanet.org/membership/member-benefits-and-programs/resident/fellow).

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### 2. MENTOR

Each applicant must have a primary mentor for the proposed research project. **The proposal must be prepared by the applicant under the supervision and guidance of, but with minimal assistance provided by the mentor(s).** More than one mentor per project is both permitted and recommended if the project is highly translational or multidisciplinary. Listing of collaborators and/or consultants on the project is acceptable; the applicant should clearly describe the role of each member of the project personnel within the Research Project Description section.

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### 3. HOST INSTITUTION

To be eligible as a host institution, the institution must reside within the boundaries of the AUA Sections ([https://www.AUAnet.org/membership/who-we-are/aua-sections-and-demographics](https://www.AUAnet.org/membership/who-we-are/aua-sections-and-demographics)) and support the applicant by guaranteeing adequacy of the environment, laboratory equipment, and supplies needed to conduct the research.

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### D. FUNDING INFORMATION

#### 1. AWARDS AVAILABLE

At least **six** awards are available for the 2021 Residency Research Award. Funding is available for research training in all urologic disease areas and across all research types: basic, translational, clinical, and health services/outcomes. For the 2021 competition, the following awards are available:

- Two awards supported by the Russell Scott, Jr., MD Urology Research Fund
- Two awards for erectile dysfunction, prostate cancer, or male incontinence research, supported by the Robert J. Krane, MD Urology Research Fund
- Two awards for bladder cancer research, supported by The Kahlert Foundation

The Urology Care Foundation continuously seeks additional sponsors for the Residency Research Award program; thus, the portfolio of awards available may increase during the course of the competition.

Institutions may support the proposals of multiple residents within any given funding year. However, depending on the number of awards available, the Urology Care Foundation prefers to support meritorious proposals from as many institutions as possible. Therefore, funding decisions will be impacted not only by the merit of the proposal and alignment with awards available, but also the intent to distribute Urology Care Foundation funding to as diverse a distribution of institutions as possible.
2. OVERLAP IN FUNDING

A Residency Research Award may be used to support a research project that is awarded funding from other extramural organizations or government agencies, **provided there is no overlap in direct costs**. It is the responsibility of the applicant to adequately justify any other current or pending funding for the proposed project in the Applicant Biosketch (see Section II, Subsection E: Applicant Biosketch) and Other Support section of the full proposal (see Section III, Subsection B: Full Proposal).

3. INSTITUTIONAL MATCHING FUNDS

The host institution will **not** be obligated to provide matching funds for the awardee. Instead, institutional commitment to the resident’s research training and project must be demonstrated through the required letters of support (see Section II, Subsection B: Confidential Letters of Support – New for 2021), and will be evaluated by peer review and contribute to the funding decision. Misappropriation of funds may result in an institution’s disqualification from eligibility for future Urology Care Foundation funding opportunities.

4. DISTRIBUTION OF FUNDS

Urology Care Foundation funds will be paid directly to the awardee’s sponsoring institution. The Urology Care Foundation does not withhold taxes from awards (federal withholding, social security, local or state taxes, etc.). It is the responsibility of the host institution and/or awardee to ensure that appropriate federal and local taxes are accounted for.

All awardees, regardless of length of project, will receive one payment of $10,000, which will be made at the beginning of the award period, either on or around August 1, 2021.

**Allowable Use of Funding**

The intent of Residency Research Award funds is to provide minimally restricted support in the form of any direct costs that benefit the project during the resident’s research training. These funds are to be used for research costs not typically covered by residency programs, including: purchase of research supplies, services, and/or database access; research education (coursework or workshops related to the proposed research project); and other non-personnel resources needed to conduct the proposed research project.

**Prohibited Use of Funding**

It is prohibited to use Residency Research Award funds for indirect costs or salary support, or costs for or related to any personnel other than the resident.

II. PROPOSAL REQUIREMENTS

A. GENERAL INFORMATION

The Residency Research Award application process consists of a **letter of intent** followed by a **full proposal**. Applicants should review this Program Announcement in its entirety to understand and begin preparing the required components.

**Applicants are required to submit a letter of intent by December 10, 2020 at 5:00 p.m. Eastern time.** Information in the letter of intent is not peer reviewed but rather used by the AUA Office of Research to prepare for peer review of proposals. Applicants should assume that they have permission to submit a full proposal. Only one letter of intent can be submitted per applicant and applicants are not bound to complete a full proposal upon submitting a letter of intent.
Following administrative review of the letter of intent, the AUA Office of Research will open the full proposal submission portal. **The deadline to complete the full proposal is January 7, 2021 at 5:00 p.m. Eastern time.** Applicants are responsible for composing, compiling, and submitting a complete proposal. It is the responsibility of the applicant to ensure that all required items are submitted electronically via the proposal submission system, including those requested from the mentor(s), Residency Program Director, Urology Department Chair, and institutional representative, prior to the proposal deadline.

**Electronic Registration**

Applicants must submit a letter of intent, proposal, and all required documents electronically via ProposalCentral at [https://proposalcentral.com/](https://proposalcentral.com/). To prevent emails from ProposalCentral from being identified as spam, it is strongly recommended that applicants, individuals providing letters of support for the proposal, and institutional representatives add pcsupport@altum.com to their address books or safe sender lists. Applicants requiring technical support related to the grant submission portal should contact ProposalCentral directly.

### B. CONFIDENTIAL LETTERS OF SUPPORT – NEW PROCESS FOR 2021

Confidential letters of support are required from the applicant’s project mentor(s), Residency Program Director, and Urology Department Chair (letter writers). **Applicants are responsible for assigning letter writers to their proposals immediately after access is granted to the full proposal.** It is critical for applicants to assign their letter writers as soon as possible to allow them ample time to submit their required letters by the proposal deadline (see Section III, Subsection B: Full Proposal).

Once the applicants assign a letter writer to their proposal, ProposalCentral will send an automated email to the letter writer with an upload link that will enable them to submit their letter confidentially. Applicants have the ability to log into their proposals at any time to check the submission status of their letters of support, and may resend notification emails as needed. All required letters must be received by the proposal deadline in order for the proposal to be considered.

**Applicants are highly encouraged to contact their respective letter writers prior to the letter of intent deadline, and should instruct them to add pcsupport@altum.com to their address books or safe sender lists.**

### 1. MENTOR LETTER(S) OF SUPPORT

The proposal must contain one letter of support from each project mentor, or a combined letter from all mentors, on institutional letterhead. **There is a two-page limit for each individual mentor letter or a combined letter.** If the mentor letter of support is not received by the proposal deadline, the proposal will not be reviewed. Mentors should be independent investigators with track records of success in research publication, grant funding, and documented development of trainees who have achieved academic success. Each mentor letter of support should:

- Clearly demonstrate that the mentor is strongly supportive of both the research project and the applicant’s training, and that the mentor has the expertise required to provide sufficient scientific guidance and oversight for the respective portions of the research project and training.
- Provide assurance that any funds needed beyond those provided by the award are available through the mentor’s or institution’s funding.
- Commit to any additional laboratory, departmental, and/or institutional resources (supplies, core facilities, additional mentors, collaborators, etc.) that will support the resident’s research training and project.
2. RESIDENCY PROGRAM DIRECTOR LETTER OF SUPPORT

The proposal must contain one letter of support from the Residency Program Director on institutional letterhead, limited to one page. The Residency Program Director letter of support should:

- Attest to the applicant’s strong performance in residency thus far and demonstrated aptitude for a career in urology research.
- Commit to a project duration of not less than three months or more than one year.
- Provide 80% protected time for research for the duration of the project and indicate any additional allowable time for research beyond the assured, protected time.
- Commit to the provision of adequate research supplies and other support.

3. UROLOGY DEPARTMENT CHAIR LETTER OF SUPPORT

The proposal must contain one letter of support from the Urology Department Chair. The letter is limited to one page and should attest to the departmental support for research for the duration of the proposed project.

C. BUDGET DETAILS

Applicants are required to report how the award will be allocated across the following allowable expense categories: supplies, equipment, services/resources, research coursework, and other non-personnel expenses (see Section I, Subsection D, Part 4: Distribution of Funds). The budgeted amount should not exceed $10,000.

D. APPLICANT CAREER PLAN

The Applicant Career Plan is limited to one page. Applicants should describe how they intend to build on this research award to continue on a path that includes research in their career as a urologist or urology surgeon-scientist. The career plan may further include descriptions of any intended fellowships, coursework, conferences, seminars, teaching or clinical responsibilities, grant writing, or other activities that applicants plan to use in their career development.

E. APPLICANT BIOSKETCH

Applicants are required to submit an NIH-style biosketch limited to five pages. The biosketch should also report any other pending or awarded grants for the proposed research project, and describe how those funds will be allocated differently than the funding provided by the Residency Research Award. A template is provided in the Download Templates and Instructions section in ProposalCentral. Applicants may also visit http://grants.nih.gov/grants/funding/phs398/phs398.html for current NIH biosketch guidelines.

F. MENTOR BIOSKETCH(S)

The mentor biosketch(es) is limited to five pages. A biosketch for each mentor is required and must adhere to NIH-style formatting.

G. PROPOSAL AGREEMENT FORM

This form must be completed in its entirety, including all necessary signatures from key personnel and the institutional representative. Primary mentors are required to describe their involvement in the development of the proposal, and applicants must provide contact information for a grant administrator who is responsible for receiving
award payments. All information should be typed into the Proposal Agreement Form (illegible information will not be accepted). The Proposal Agreement Form may be downloaded from the Download Templates & Instructions section in ProposalCentral.

H. RESEARCH FACILITIES AND ENVIRONMENT DESCRIPTION

The Research Facilities and Environment Description is limited to one page. Applicants should describe the institution's facilities, funding, and technical assistance available to the project. Applicants should also include their specific duties, clinical activities, relationship to mentor's ongoing research program, and immediate supervisor. Applicants must indicate their time committed to research (no less than 80%) in bold font.

I. RESEARCH PROJECT ABSTRACT

The Research Project Abstract is limited to one page and should summarize the following elements of the proposed research project and training under the following headings:

- Problem to Be Addressed
- Specific Aims and Experimental Design
- Mentoring/Training Plan
- Potential Impact of the Project on Urologic Research and on the Applicant's Career Development

J. RESEARCH PROJECT DESCRIPTION

The Research Project Description is limited to three pages, inclusive of figures and tables. References cited page(s) and abbreviation/acronyms lists do not count against the page limit and are uploaded as a separate document in ProposalCentral.

Applicants are encouraged to be mindful of ease-of-review considerations and avoid overcrowding of text, use figures of sufficient size for understanding and bolding of the most notable text, and include other features that contribute to ease-of-review. Use of a simple font (e.g. Arial, Calibri, Times New Roman) in 12-point format is recommended.

Proposals that use below an 11-point font and half inch margins may not be forwarded to peer review. Paragraphs should be single-spaced. Legibility can influence proposal evaluation. The Research Project Description should be organized into the three sections listed below. The suggested length for each section is one page.

1. BACKGROUND AND SPECIFIC AIMS

Introduce the problem to be addressed, providing the major reasons why this is an important problem to study and citing the appropriate literature. Define the major hypothesis to be tested and list specific aims proposed to test the hypothesis. Ensure that the aims are appropriate for the proposed duration of the project.

2. SUPPORTING DATA

Provide any preliminary data pertinent to the proposed experiments. Present either research data that the applicant has generated or data that has been generated by the laboratory of the mentor(s) and is related to the proposed project. Unpublished data or published data (with references) may be included. The data in this section should support the hypothesis of the proposed work.
3. EXPERIMENTAL PLAN

Describe each experiment such that reviewers can determine the feasibility of the methods and how they will address the hypothesis and aims. Outline the rationale for each experiment, possible or anticipated results, and the approaches that will be used for data analysis and statistical interpretation, as appropriate. Briefly describe alternative experimental approaches should the initial approaches prove unsuccessful.

K. COLLABORATOR LETTER(S) OF SUPPORT

Collaborator letters of support (e.g., for promised materials or other research support) are not required for this award; however, if applicable, they are permitted. These letters are not confidential and the applicant is responsible for uploading them into ProposalCentral by the full proposal deadline. If collaborators are present, the applicant should clearly describe the role of each collaborator within the Research Project Description component of the full proposal.

III. LETTER OF INTENT AND FULL PROPOSAL SUBMISSION INSTRUCTIONS

A. LETTER OF INTENT

After creating a ProposalCentral account and logging into the system, the applicant can locate the Residency Research Award program by clicking on the “Grant Opportunities” tab and searching for the American Urological Association as the Grant Maker. The letter of intent can be accessed by clicking the “Apply Now” button. The letter of intent sections are listed below with additional guidance on how to complete each section.

1. Title Page
   • Applicants should select the number of months this award is intended to support.

2. Download Templates & Instructions
   • Proposal Agreement Form – This form must be completed in its entirety, including all necessary signatures and contact information, and submitted with the full proposal. It is provided here to give the applicant ample time to complete.
   • Biosketch Template – This is a reference document to assist applicants in completing a biosketch. Applicants may also visit http://grants.nih.gov/grants/funding/phs398/phs398.html for current NIH biosketch guidelines.

3. Enable Other Users to Access this Proposal
   • This section enables applicants to give other users access to the letter of intent but is not required.

4. Applicant/PI
   • The applicant will need to toggle between their “Professional Profile” in ProposalCentral and sections of the letter of intent in order to reference and complete the required information.
   • ORCID Identifier – In concurrence with the NIH policy requiring ORCID iDs from all applicants beginning October 2020 “to simplify applicant reporting and improve the tracking of career outcomes” (https://grants.nih.gov/grants/guide/notice-files/NOT-OD-19-109.html), all Urology Care Foundation award mechanisms will also require applicants to provide ORCID iDs. Applicants may register for ORCID iDs at https://orcid.org/.

5. Eligibility
   • AUA Section Information: Applicants should select the AUA Section in which the proposed research will be conducted. Applicants who intend to conduct their research at an institution within the state of New York, New Jersey, or Pennsylvania should reference the institution's zip code to select the
appropriate Section (see https://www.AUAnet.org/membership/who-we-are/aua-sections-and-demographics).

6. **Institution & Contacts**
   - Applicants should confirm that the Lead Institution is correct and add a Signing Official to the proposal.

7. **Key Personnel**
   - Applicants are required to identify their mentor(s), Residency Program Director, and Urology Department Chair for the proposed project. These individuals should be the same as those who will be writing letters of support; however, this section does not prompt them to submit their required letter of support (see Section III, Subsection B: Full Proposal).
   - Applicants also have the option to identify any collaborators on the proposed project. If collaborators are present, the applicant should clearly describe the role of each collaborator within the Research Project Description component of the full proposal.

8. **Project Information**
   - Applicants are required to provide a brief description of the proposed project. This information is used to assist AUA Office of Research staff in peer review planning. It will not enter into the review of a subsequent full proposal.

9. **Validate**
   - This section will check for any required information or files missing from the letter of intent.

10. **Preview Letter of Intent**
    - Signature of the title page by the PI/chairman/signing official is not required. Please disregard this guidance.

11. **Submit**

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**B. FULL PROPOSAL**

After administrative review of the letter of intent, the AUA Office of Research will grant applicants access to the full proposal submission portal. Much of the full proposal submission will be populated with information provided in the letter of intent. The proposal sections are listed below with additional guidance on how to complete each section.

1-5. **Title Page, Download Templates, Enable Other Users to Access this Proposal, Applicant/PI, Eligibility**

6. **Institution & Contacts**
   - Applicants are required to add an Institutional Representative or Signing Official to their proposal.

7. **Key Personnel**
   - Applicants have the option of adding/removing key personnel who were previously included in the letter of intent.

8. **Confidential Letters of Support**
   - This section enables the applicants to invite their mentor(s), Residency Program Director, and Urology Department Chair (letter writers) to complete and submit their letters of support through ProposalCentral. Applicants should complete this immediately upon gaining access to the full proposal.
   - Applicants may resend the invitation to individual letter writers and track whether the letters have been received. All letters of support must be received before the proposal deadline for the proposal to be considered for funding.
9. **Project Information**
   - Applicants are required to provide a lay and technical abstract for the proposed project. By submitting the full proposal, the applicant thus gives consent for its use in Urology Care Foundation or AUA publications, advertising, fundraising, or other media activities.

10. **Budget Detail**
    - Applicants are required to provide an itemized budget for the $10,000 award (see Section I, Subsection D, Part 4: Distribution of Funds).

11. **Budget Summary**
    - Applicants should confirm their budget information is correct and does not exceed $10,000.

12. **Other Research Support**
    - Applicants are required to report any other pending or awarded grants for the proposed research project and describe how those funds will be allocated differently than the funding provided by the Residency Research Award.

13. **Upload Attachments Here**
    - The applicant must upload all proposal components as portable document files (PDFs) with each component adhering to its specific page limitation.

14. **Validate**
    - This section will check for any required information or files missing from the full proposal.

15. **Print Full Application with Uploads**
    - No action is required in this section.

16. **Submit**

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### IV. PROPOSAL REVIEW INFORMATION

#### A. PROPOSAL REVIEW AND SELECTION PROCESS

All proposals are evaluated by a peer review panel of expert physician-scientists and researchers. Each proposal is considered according to established criteria for determining merit as described below.

Following the completion of peer review and from the pool of proposals deemed fundable, the most highly meritorious proposals will be matched to the available awards. All funding decisions must be approved by the AUA Director of Research and Research Council Chair. **All review processes are conducted confidentially to maintain the integrity of the selection process.** Peer reviewers agree to a nondisclosure statement that all proposal and evaluation information will not be disclosed outside the peer review panel. Violations of confidentiality can result in the voiding of peer review results and other corrective actions. In addition, the applicant, mentor(s), and other individuals involved in or otherwise standing to benefit from the proposal’s funding are prohibited from contacting persons involved in the review process to gain protected evaluation information or to influence the evaluation process. Violations of these prohibitions will result in the disqualification of the proposal.

#### B. REVIEW CRITERIA

All proposals will be evaluated against the following review criteria:

**Applicant**
- To what degree the applicant’s achievements to date, as reflected by awards, honors, previous publications, etc., indicate the applicant’s potential for a successful career that includes urologic research.
To what degree the applicant’s stated career goals and the letters of support indicate a strong commitment to pursuing urologic disease research throughout the applicant’s career.

Mentor(s)
- To what degree the mentor letter(s) of support demonstrates the applicant’s potential to pursue career-long involvement in urologic research.
- To what degree the mentor letter(s) of support includes a realistic time frame and describes the availability of necessary resources for the applicant's research project.
- To what degree the mentor(s) can provide the necessary expertise and track record to provide sufficient scientific guidance and oversight for the research project and training.
- To what degree the mentor has outlined a solid training plan for the applicant.

Research Project
- To what degree the research project is appropriately designed and will provide the applicant with research experience and training to promote the development of long-term involvement in urologic research.
- To what degree the experimental plan is appropriately designed to achieve the aims of the project and achieve them within the proposed time frame. Expected results, potential obstacles, and alternative strategies should be discussed.
- To what degree the proposal is well organized, clearly presented, and suggests the applicant's ability to think clearly and to present an argument in a logical, compelling way.
- To what degree the research project has an appropriate budget to support the applicant and the applicant’s proposed studies.

Institution
- To what degree the institution provides a training environment with ongoing urologic disease research and the necessary resources that will promote the development of the applicant.

Residency Program Director and Urology Department Chair
- To what degree the letters of support indicate the applicant’s strong performance in residency thus far, the applicant’s potential for career-long involvement in urologic research, and the appropriateness of the proposed length of the award performance period with at least 80% of protected research time.

C. NOTIFICATION OF PROPOSAL REVIEW RESULTS

Residency Research Award applicants will be notified of funding decisions through ProposalCentral and/or in writing via email no later than February 2021; results will not be given over the phone. To prevent emails from the proposal submission system from being identified as spam, it is strongly recommended to add pcsupport@altum.com to your address book or safe sender list. Summary statements of proposal reviews will not be provided for the Residency Research Award program.

Applicants selected for funding must:
- Send an email to grantsmanager@AUAnet.org to confirm or decline the award within 48 hours.
- Submit a high-quality headshot (file size typically ≥1MB) in professional dress or laboratory/white coat via ProposalCentral within a week of accepting the award. With the submission of this photo, the applicant thus gives consent for its use in Urology Care Foundation or AUA publications, advertising, fundraising, or other media activities.
- Confirm AUA membership within a week of accepting the award by submitting their AUA member IDs or proof of application via ProposalCentral.
D. NOTICE OF AWARD

For applicants selected for funding, a Notice of Award letter will be issued prior to the first payment to notify the awardee, mentor(s) and institutional representatives that an award has been made and to specify the terms and conditions of the award.

The Urology Care Foundation including the sponsors for their award programs does not assume responsibility for the conduct of the activities that the award supports, or for the acts of the award recipient, because both are under the direction and control of the award recipient’s institution and subject to its medical and scientific policies.

V. AWARD REQUIREMENTS

A. REGULATORY APPROVALS AND EXEMPTIONS

1. INSTITUTIONAL REVIEW BOARD (IRB)

The applicant must provide a letter of approval or exemption from the local IRB if activities involving human subjects are planned during the proposed research. IRB letters of approval or exemption are not required at the time of proposal submission. Should the proposal be selected for funding, the award will be contingent upon receipt of a copy of the current IRB approval or exemption letter by the AUA Office of Research. Documentation should be submitted through the proposal submission system. If IRB approval or exemption for the project is not obtained within 30 days of the award performance period start date for awards shorter than 6 months or within 90 days of the award performance period start date for 6 to 12 month awards, the Urology Care Foundation reserves the right to terminate the award.

2. INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC)

The applicant must submit a letter of approval or exemption by the IACUC if activities involving vertebrate animals are planned during the research. IACUC letters of approval or exemption are not required at the time of proposal submission. Should the proposal be selected for funding, the award will be contingent upon receipt of a copy of the current IACUC approval or exemption letter by the AUA Office of Research. Documentation should be submitted through the proposal submission system. If IACUC approval or exemption for the project is not obtained within 30 days of the award performance period start date for awards shorter than 6 months or within 90 days of the award performance period start date for 6 to 12 month awards, the Urology Care Foundation reserves the right to terminate the award.

B. REPORTING

Failure to adhere to reporting requirements and/or provide appropriate documentation may result in a delay in payments of other awards to the institution or suspension of the awardee, mentor(s), or institution from eligibility for subsequent Urology Care Foundation funding opportunities. Unless approved by the institution and the Urology Care Foundation, failure to complete the duration of the research period may result in repayment of unspent funds to the Urology Care Foundation.

1. PUBLIC ACCESS PUBLISHING AND SHARING OF GRANT INFORMATION

All Urology Care Foundation awardees are subject to a public access policy. In addition, the AUA is a member of the Health Research Alliance (HRA)—a national consortium of non-governmental, nonprofit funders of biomedical...
research and training—and has agreed to deposit grant information in the HRA database of privately funded grants, HRA Reporter.

The AUA and its Urology Care Foundation reserve the right to include information relating to the grant (such as the grantee name and project title) in materials issued by or on behalf of the AUA and Urology Care Foundation, including periodic reports, newsletters or news releases, the website, or in any other materials issued by or on behalf of the AUA and the Urology Care Foundation. The following award information will be provided to the HRA Reporter database and may be shared with the public: investigator name, degrees, clinical specialty if applicable, institution, project title, abstract, grant start date and duration, grant amount and ORCID ID of the investigator if applicable. HRA aggregates these data for its member organizations and for periodic publication of findings (see https://www.healthra.org/hra-platforms/hra-reporter-public/ for more information).

2. FINAL REPORT AND PERSONAL STATEMENT

Awardees are required to submit a final report and personal statement to the AUA Office of Research via ProposalCentral. Awardees should refer to the Notice of Award for reporting criteria.

Three- to six-month award recipients are required to submit a final report and personal statement within 30 days following the end of the project period, or by February 28, 2022, whichever comes first.

Seven- to 12-month award recipients are required to submit a final report and personal statement within 30 days following the end of the project period, or by August 31, 2022, whichever comes first. NOTE: The Kahlert Foundation award recipients may be subject to additional reporting requirements.

3. MENTOR FINAL REPORT

The primary project mentor is required to submit a final report within 30 days following the end of the project period via ProposalCentral. Awardees should refer to the Notice of Award for reporting criteria.

4. INSTITUTIONAL ACCOUNTABILITY REPORT

The Institutional Accountability Report (IAR) is a form that re-affirms that the institution is adhering to all terms of the Program Announcement and Proposal Agreement Form, especially the provisions of institutional support and level of effort requirements. Continued funding is contingent upon receipt of a satisfactory report.

Interim IAR
An Interim IAR must be submitted by an institutional grant administrator via ProposalCentral no later than December 31, 2020. Three- to six-month awardees are not required to submit an interim IAR.

Final IAR
A Final IAR must be submitted by an institutional grant administrator via ProposalCentral by the following deadlines:

- Three- to six-month award recipients: within 30 days following the end of the project period, or by February 28, 2022, whichever comes first.
- Seven- to 12-month award recipients: within 30 days following the end of the project period, or by August 31, 2022, whichever comes first.

5. OUTCOMES REPORTING

Following the completion of the project period, the AUA Office of Research may contact previous awardees to collect additional award outcomes information including, but not limited to, publications, funding, invited speakerships and presentations, mentorship, and leadership positions within the AUA or affiliated organizations.
C. CHANGES TO CONTACT INFORMATION, PROJECT, PERSONNEL, INSTITUTION, OR FUNDING

If the awardee is selected for any additional funding from organizations other than the Urology Care Foundation after being selected for a Residency Research Award, the awardee MUST immediately contact the AUA Office of Research to discuss appropriate courses of action. If the awardee does not meet this requirement, return of any unused Residency Research Award funds may be required.

Any changes in the research project, mentor, personnel, or location/contact information that occur after the proposal is selected for funding or during the award period must be reported to and approved by the AUA Office of Research. Changes in the resident awardee will typically result in award termination. However, exceptions will be considered on a case-by-case basis and at the discretion of the AUA Director of Research.

D. PUBLICATION ACKNOWLEDGEMENTS

Awardees are required to send electronic copies of articles published based on Residency Research Award-funded research to the AUA Office of Research at grantsmanager@AUAnet.org. Any publication or presentation arising from work supported in whole or in part by the Residency Research Award should include the following acknowledgment:

“This work was supported in part by the 2021 Urology Care Foundation Residency Research Award Program and [SPONSOR NAME].”

E. AUA AND UROLOGY CARE FOUNDATION RESEARCH-RELATED ACTIVITIES

Each year, the AUA and the Urology Care Foundation coordinate several educational events designed to advance urologic research, especially for early-career investigators. These events are held during the AUA Annual Meeting and at AUA Headquarters in Linthicum, Maryland. The AUA Office of Research also provides opportunities for Urology Care Foundation-funded investigators to present posters at some of these events, and travel awards may be available (see Section VI: Urology Care Foundation Travel Support Program).

Residency Research Award recipients are required to attend the Urology Care Foundation Research Honors Program & Reception held at the 2022 AUA Annual Meeting, where they will present the outcomes of their research projects. The event will also provide opportunities to network with AUA and Urology Care Foundation leadership, other Foundation-supported research teams, and leaders in the field of urologic research.

Residency Research Award recipients are highly encouraged to attend the following events and should refer to the Notice of Award for additional information:

AUA Annual Meeting
- Basic Sciences Symposium
- Challenges of Urologic Research Symposium
- Early-Career Investigators Showcase
- Funding Opportunities and Grant Writing Workshop
- Urologic Oncology Research Symposium

AUA Headquarters
- Early-Career Investigators Workshop
- Co-sponsored Research Symposia
VI. UROLOGY CARE FOUNDATION TRAVEL SUPPORT PROGRAM

The Urology Care Foundation Scholar Travel Support Program is administered by the AUA Office of Research and is intended to provide opportunities for Urology Care Foundation-funded investigators to attend scientific or professional meetings relevant to urologic research. This program provides up to $1,500 of travel support to Residency Research Award recipients. Awardees should refer to the Notice of Award for information regarding travel support applications and limitations.

VII. CONTACT INFORMATION

Questions related to the 2021 Program Announcement or submission requirements should be directed to Erik Siedow, AUA Research Program Evaluation and Communications Coordinator. The preferred method of communication is email at esiedow@auanet.org. Phone calls, when necessary, may directed to 410-689-3767. Assistance is typically available Monday through Friday from 8:00 a.m. to 4:00 p.m. Eastern time. Response times may vary depending upon the volume of inquiries.